

PATENT

Key Docket No. 4539US (MUEI-0560.00/US)

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Krista Weber Powell

Typed name of person mailing transmittal

August 31, 2001

Date of Deposit

Signature of person mailing transmittal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown

Group Art Unit No.: Unknown

Applicant(s): James S. Raitter

Filing date: August 10, 2001

Serial No.: 09/928,032

For (title): SEQUENTIAL UNIQUE MARKING

COMMUNICATION TRANSMITTAL

Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- ☒ Preliminary amendment with Appendix A (containing paragraphs [0001] through [0027]), Appendix B (containing paragraphs [0001] through [0027]), Appendix C (containing currently pending claims 1 through 34), and Appendix D (containing amended claims 1, 6-10, 15, 22-27 and 32).
- ☐ Amendment or other communication in response to the non-final office action mailed.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
- ☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).
- ☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.
- ☒ Letter to the Chief Draftsman and attached revised drawings with annotations in red (1 sheet, 1 figure).
- ☒ Transmittal of Formal Drawings (1 page); and Formal Drawings (3 sheets, 3 figures).

Remarks:

- ☒ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3		\$EXTRA
Total claims	34	-	34	=	0 x 18.00 =
Indep. claims	4	-	4	=	0 x 78.00 =
First presentation of a multiple dep. claim (+260.00)					
SUBTOTAL					0
Reduction for small entity - 50% of subtotal*					
TOTAL ADDITIONAL FEE (subtotal minus any reduction)					0

*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

1 Claims remaining after amendment.

2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.

3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Krista Weber Powell
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P.O. Box 2550
Salt Lake City, UT 84110-2550
(801) 532-1922

Date: August 31, 2001

Enclosures: As identified above

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#9
Q. k 1.10.02 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James S. Raitter

Serial No.: 09/928,032

Filed: August 10, 2001

For: SEQUENTIAL UNIQUE MARKING

Examiner: Unknown

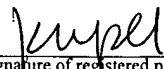
Group Art Unit: Unknown

Attorney Docket No.: 4539US (MUEI-0560.00/US)

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Krista Weber Powell
Typed/printed name of person whose signature is contained above

LETTER TO THE CHIEF DRAFTSMAN

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Box Non-Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant submits herewith revised FIG. 2 which corrects errors in the drawings. Specifically, FIG. 2 has been revised to add a --;-- after the first occurrence of the word "tray" and to replace "coresponding" with --corresponding-- after the word "get" in the text box labeled Reference Numeral 10. FIG 2 has also been revised to replace "Determin" with --Determine-- in the text box labeled Reference Numeral 20. Attached is a copy of the drawing with the proposed changes marked in red.

Serial No.: 09/928,032

No new matter has been added. Approval of the proposed revisions is respectfully requested.

Respectfully submitted,



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Telephone: (801) 532-1922

Date: August 31, 2001

KWP/dn

Enclosures: Drawings with changes marked in red

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